



Auditing Review Unit
Professional Standards and Accountability Bureau

Sex Crimes Unit Audit Report May 2023

Report #: SC052023

Review Period: July 1, 2022 - December 31, 2022

Submitted by PSAB: May 30, 2023

Response from Sex Crimes: June 6, 2023

Final Report: June 16, 2023

Audit Team

This audit was managed and conducted by the Professional Standards and Accountability Bureau – Auditing and Review Unit.

Table of Contents

Introduction	3
Purpose	3
Scope.....	3
Methodology	3
Data.....	3
Initiating and Conducting the Sex Crimes Audit	4
Sex Crimes Unit Review Checklist	5
Sex Crimes Unit Scorecards	6
Case File Reviews – 2nd Half 2022	8
Mandated Consent Decree paragraph responses (CD #206-#211)	12
Overall Compliance Score	16
Conclusion	16
Results.....	16
Recommendations	16
Sex Crimes Unit Responses & PSAB Notes:.....	17
ARU Attachments:.....	17
Appendix C – Report Distribution	18

Introduction

The Auditing Review Unit of the Professional Standards and Accountability Bureau conducted a semi-annual audit of the Sex Crimes Unit's investigation case files. This audit covered case files for the period of July 2022 to December 2022. The time allocated to conduct the audit was May 9, 2023, through May 12, 2023.

Purpose

The Sex Crimes Unit case file audit was conducted to verify Departmental compliance with the Consent Decree and with NOPD's Operations Manual, Chapter 42.2 "Sexual Assault" Investigations.

Scope

This audit will determine and document whether there was a proper response by investigators and supervisors of the New Orleans Police Department's Sex Crimes Unit in conducting follow-up investigations. The auditors are responsible for verifying that each overall response was proactive, victim centered and professional. Once the review is completed, the audit manager will submit a report to the Captain of the Sex Crimes Unit, and the Captain of the Professional Standards and Accountability Bureau (PSAB) pointing out any deficiencies or confirming a thorough investigations. These audit reports will help to maintain thorough and complete Sex Crimes Unit investigations in the future. A "final report" will also be sent to the appropriate monitor from the OCDM.

Methodology

Population size – the Sex Crimes Unit only.

Sample size – Forty-five (45) case files were selected via EXCEL's "RAND" function; from the 301 cases taken in by the Sex Crimes Unit for the 2nd Half of the 2022 calendar year.

Documentation to be reviewed – All documents and investigative material contained within each individual case file.

Testing Instrument(s) – New Orleans Police Department Operations Manual Chapter 42.2, "Sexual Assault Investigations" (Effective: 5/27/2018), and a thirty-three (33) point Sex Crimes Audit Checklist. Each individual case file will be audited in its entirety via "double-blind" auditing process by two (2) members of the Auditing Review Unit (ARU), to give a reliable and thorough review of each case file.

Data

The audit range is usually set for every six months (Semi-Annually). The Sex Crimes Unit will give the Auditing Review Unit all item numbers they were assigned during that audit date range. The Auditing and Review Unit will then take those item numbers and enter them into EXCEL's randomizer generator for cases to be selected for review. The Auditing Review Unit will then review at least 15% of those cases within the audit range.

Initiating and Conducting the Sex Crimes Audit

The Professional Standards and Accountability Bureau contacted the Commander of the Special Victims Section (SVS), on April 28, 2023, to inform her of a scheduled Sex Crimes Unit case file audit that would be initiated by the Auditing Review Unit (ARU), during the week of May 9, 2023.

The SVS Commander was given this advanced notice so that she could schedule to have a Sex Crimes Unit supervisor on standby to provide the requested case files to the Auditing Review Unit (ARU) upon demand. The SVS Commander was also provided with the checklist that would be used, in addition to the audit protocol.

During this audit period, the ARU auditors requested and received a total of forty-five (45) case files from the on-duty Sex Crimes sergeant for review. The auditors remained at the office of the Sex Crimes Unit to review the case files while conducting their audit.

Each case file was then systematically reviewed via “double-blind” audit process by the ARU auditors, for a determination of each case file’s compliance with the New Orleans Police Department’s Operations Manual Chapter 42.2, as it relates to “Sexual Assault” Investigations. To facilitate this process, the auditors used the thirty-three (33) point Sexual Assault audit checklist as a gauge to review and analyze the content of every case file.

Total: 45 Case Files

The following checklist was the instrument used by the auditing team to review each case file.

Sex Crimes Unit Review Checklist

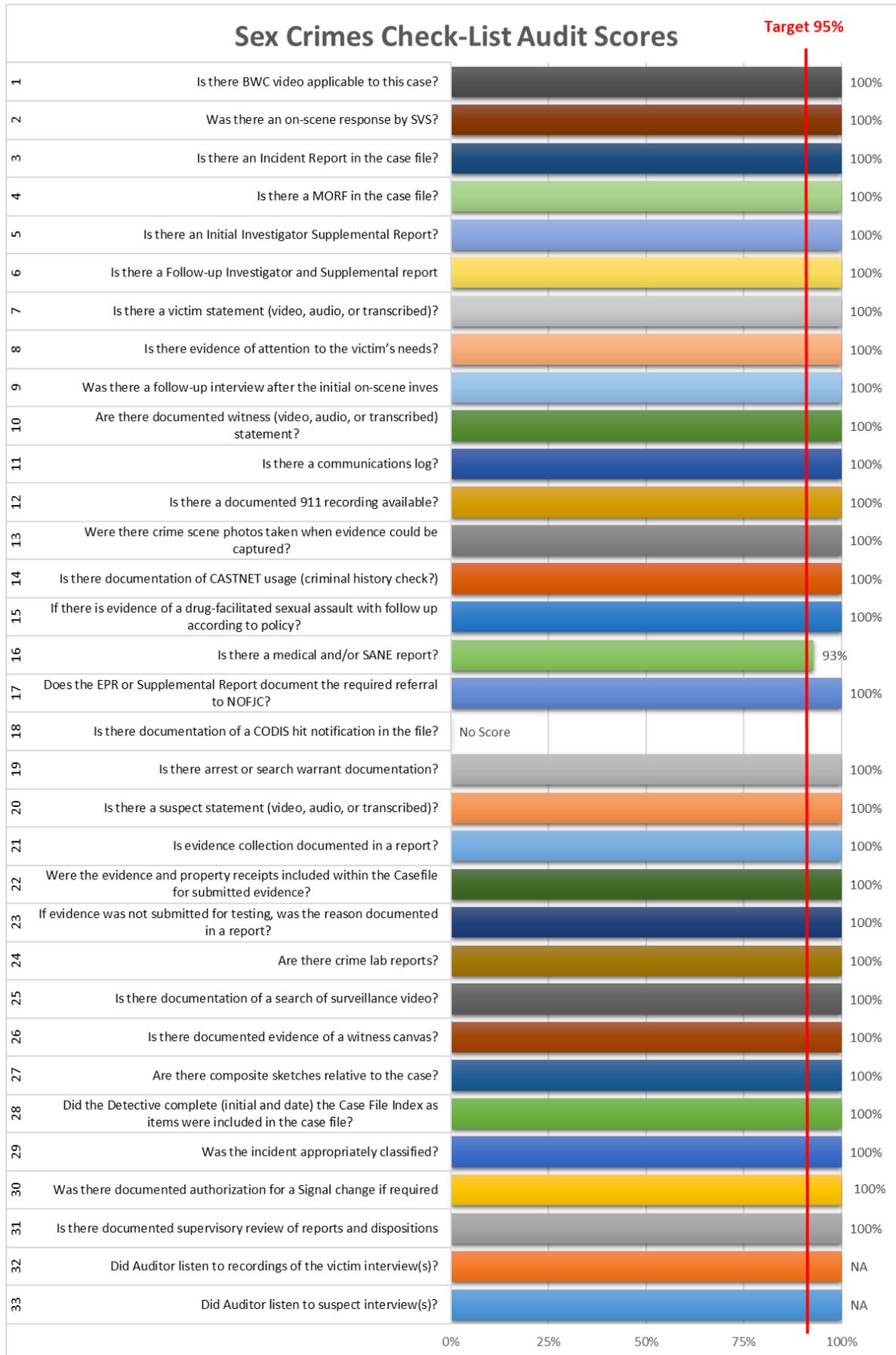
Item Number: _____
 Monitor: _____
 Date: _____

NA = Not Applicable
 Y = Compliant
 N = Not compliant
 U = Unknown

1. Is there BWC video applicable to this case?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
2. Was there an on-scene response by SVS?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
3. Is there an Incident Report in the case file?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
4. Is there a MORF in the case file?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
5. Is there an Initial Investigator's Supplement Report?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
6. Is there a Follow up Investigation & Supplement Report?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
7. Is there a victim statement (video, audio, or transcribed)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
8. Is there evidence of attention to the victim's needs (i.e., Did the investigator demonstrate empathy, safety & medical needs of the victim, etc.)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
9. Was there a follow-up interview after the initial on-scene investigation?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
10. Are there documented witnesses (video, audio, or transcribed) statements?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
11. Is there a communications log (incident recall)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
12. Is there a documented 911 recording available?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
13. Were there crime scene photos taken when evidence could be captured/recorded, as appropriate? (photos may be in Property & Evidence or Case File materials)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
14. Is there documentation of CastNet usage (criminal history check)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
15. If there is evidence of a drug-facilitated sexual assault with follow up according to policy?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
16. Is there a medical and/or SANE report?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
17. Does the EPR or Supplement Report document the required referral to NOFJC?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
18. Is there documentation of a CODIS hit notification in the file?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
19. Is there arrest or search warrant documentation?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
20. Is there a suspect statement (video, audio, or transcribed)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
21. Is evidence collection documented in a report?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
22. Were the evidence & property receipts included within the Case File for submitted evidence?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
23. If evidence was not submitted for testing, was the reason documented in a report?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
24. Are there crime lab reports?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
25. Is there documentation of a search of surveillance video?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
26. Is there documented evidence of a witness canvas?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
27. Are there composite sketches relative to the case?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
28. Did the Detective complete (initial and date) the Case File Index as items were included in the Case File?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
29. Was the incident appropriately classified?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
30. Was there documented authorization for a Signal change if required?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
31. Is there documented supervisory review of reports and dispositions?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Did the Auditor listen to recordings of the victim interview(s)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N
Did the Auditor listen to suspect interview(s)?	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N

Auditor Comments: Explain in the narrative below whether there were any exceptional strategies used by the investigator or any deficiencies noted in the case investigation by Auditor:

Sex Crimes Unit Scorecards



Sex Crimes Check-List Scorecard - (Double-blind)

Period: 2nd-Half, 2022

ARU percentages for Consent Decree requirements for sex crimes check-list audit.

Check-List Questions	Score	General Comments	CD#	Y	N	NA
1 Is there BWC video applicable to this case?	100%	ARU audited sampled Sex Crimes case file items for a defined period, for completeness and accuracy as required by the Consent Decreee. For an explanation of the procedure and scoring system for this review, see the associated "protocol " document. For a list of relevant policies, contact PSAB as needed. For the audit results for each case file, see the accompanying RawData spreadsheets. Scores below 95% are highlighted in red .	213	27	0	18
2 Was there an on-scene response by SVS?	100%		213	18	0	27
3 Is there an Incident Report in the case file?	100%		212	45	0	0
4 Is there a MORF in the case file?	100%		213	45	0	0
5 Is there an Initial Investigator Supplemental Report?	100%		213	44	0	1
6 Is there a Follow-up Investigator and Supplemental report	100%		213	23	0	22
7 Is there a victim statement (video, audio, or transcribed)?	100%		213	36	0	9
8 Is there evidence of attention to the victim's needs?	100%		213	38	0	7
9 Was there a follow-up interview after the initial on-scene inves	100%		213	14	0	31
10 Are there documented witness (video, audio, or transcribed) statement?	100%		213	11	0	34
11 Is there a communications log?	100%		214	44	0	1
12 Is there a documented 911 recording available?	100%		213	28	0	17
13 Were there crime scene photos taken when evidence could be captured?	100%		214	4	0	41
14 Is there documentation of CASTNET usage (criminal history check?)	100%		213	42	0	3
15 If there is evidence of a drug-facilitated sexual assault with follow up according to policy?	100%		213	5	0	40
16 Is there a medical and/or SANE report?	93%		216	13	1	31
17 Does the EPR or Supplemental Report document the required referral to NOFJC?	100%	216	26	0	19	
18 Is there documentation of a CODIS hit notification in the file?	-	213	0	0	45	
19 Is there arrest or search warrant documentation?	100%	213	1	0	44	
20 Is there a suspect statement (video, audio, or transcribed)?	100%	213	6	0	39	
21 Is evidence collection documented in a report?	100%	213	37	0	8	
22 Were the evidence and property receipts included within the Casefile for submitted evidence?	100%	213	36	0	9	
23 If evidence was not submitted for testing, was the reason documented in a report?	100%	213	2	0	43	
24 Are there crime lab reports?	100%	213	7	0	38	
25 Is there documentation of a search of surveillance video?	100%	213	2	0	43	
26 Is there documented evidence of a witness canvas?	100%	213	4	0	41	
27 Are there composite sketches relative to the case?	100%	213	1	0	44	
28 Did the Detective complete (initial and date) the Case File Index as items were included in the case file?	100%	212	44	0	1	
29 Was the incident appropriately classified?	100%		45	0	0	
30 Was there documented authorization for a Signal change if required	100%		4	0	41	
31 Is there documented supervisory review of reports and dispositions	100%		45	0	0	
32 Did Auditor listen to recordings of the victim interview(s)?	100%		16	0	29	
33 Did Auditor listen to suspect interview(s)?	100%		10	0	35	
34 Overall Score	99.9%		697	1	697	

Case File Reviews – 2nd Half 2022

The below-listed information reveals the outcome of the Audit Team’s checklist reviews.

1. **Is there BWC video applicable to this case?** The overall score for this category was **96%**. Of the 45 cases reviewed, 27 were audited as positive, 1 was negative and 17 were N/A (not applicable).
2. **Was there an on-scene response by SVS?** The overall score for this category was **100%**. Of the 45 cases reviewed, 18 were audited as positive, none were negative and 27 were N/A (not applicable).
3. **Is there an Incident Report in the case file?** The overall score for this category was **100%**. Of the 45 cases reviewed, 45 were audited as positive, none were negative, and none were N/A (not applicable).
4. **Is there a MORF in the case file?** The overall score for this category was **100%**. Of the 45 cases reviewed, 45 were audited as positive, none were negative, and none were N/A (not applicable).
5. **Is there an Initial Investigator Supplemental Report?** The overall score for this category was **100%**. Of the 45 cases reviewed, 44 were audited as positive, none were negative, and 1 was N/A (not applicable).
6. **Is there a Follow up Investigator & Supplemental Report)?** The overall score for this category was **100%**. Of the 45 cases reviewed, 23 were audited as positive, none were negative, and 22 were N/A (not applicable).
7. **Is there a victim statement (video, audio, or transcribed)?** The overall score for this category was **100%**. Of the 45 cases reviewed, 36 were audited as positive, none were negative, and 9 were N/A (not applicable).
8. **Is there evidence of attention to the victim’s needs? (i.e., Did the investigator demonstrate empathy, safety & medical needs of the victim, etc.)?** The overall score for this category was **100%**. Of the 45 cases reviewed, 38 were audited as positive, none were negative, and 7 were N/A (not applicable).
9. **Was there a follow-up interview after the initial on-scene investigation?** The overall score for this category was **100%**. Of the 45 cases reviewed, 14 were audited as positive, none were negative, and 31 were N/A (not applicable).

10. **Are there documented witness statements (video, audio, or transcribed)?** The overall score for this category was **100%**. Of the 45 cases reviewed, 11 were audited as positive, none were negative, and 34 were N/A (not applicable).
11. **Is there a communications log (incident recall)?** The overall score for this category was **96%**. Of the 45 cases reviewed, 44 were audited as positive, none were negative, and 1 was N/A (not applicable).
12. **Is there a documented 911 recording available?** The overall score for this category was **100%**. Of the 45 cases reviewed, 28 were audited as positive, none were negative and 17 were N/A (not applicable).
13. **Were there crime scene photos taken when evidence could be captured/recorded, as appropriate?** The overall score for this category was **100%**. Of the 45 cases reviewed, 4 were audited as positive, none were negative, and 41 were N/A (not applicable).
14. **Is there documentation of CASTNET usage (criminal history check)?** The overall score for this category was **100%**. Of the 45 cases reviewed, 42 were audited as positive, none were negative and 3 were N/A (not applicable).
15. **If there is evidence of a drug- facilitated sexual assault with follow up according to policy?** The overall score for this category was **100%**. Of the 45 cases reviewed, 5 were audited as positive, none were negative, and 40 were N/A (not applicable).
16. **Is there a medical and/or SANE report)?** The overall score for this category was **93%**. Of the 45 cases reviewed, 13 were audited as positive, 1 was negative and 31 were N/A (not applicable).
17. **Does the EPR or Supplemental Report document the required referral to NOFJC?** The overall score for this category was **updated to 100% from 93%**. Of the 45 cases reviewed, 26 were audited as positive, none were negative and 19 were N/A (not applicable).
18. **Is there documentation of a CODIS hit notification in the file?** The overall score for this category was **no score**. Of the 45 cases reviewed, none were audited as positive, none were negative, and 45 were N/A (not applicable).
19. **Is there arrest or search warrant documentation?** The overall score for this category was **100%**. Of the 45 cases reviewed, 1 was audited as positive, none were negative, and 44 were N/A (not applicable).
20. **Is there a suspect statement (video, audio, or transcribed)?** The overall score for this category was **updated to 100% from 86%**. Of the 45 cases reviewed, 6 were audited as positive, none were negative, and 39 were N/A (not applicable).

21. **Is evidence collection documented in a report?** The overall score for this category was **100%**. Of the 45 cases reviewed, 37 were audited as positive, none were negative, and 8 were N/A (not applicable).
22. **Were the evidence & property receipts included within the Case File for submitted evidence?** The overall score for this category was **100%**. Of the 45 cases reviewed, 36 were audited as positive, none were negative, and 9 were N/A (not applicable).
23. **If evidence was not submitted for testing, was the reason documented in a report?** The overall score for this category was **100%**. Of the 45 cases reviewed, 2 were audited as positive, none were negative, and 43 were N/A (not applicable).
24. **Are there crime lab reports?** The overall score for this category was **100%**. Of the 45 cases reviewed, 7 were audited as positive, none were negative, and 38 were N/A (not applicable).
25. **Is there documentation of a search of surveillance video?** The overall score for this category was **100%**. Of the 45 cases reviewed, 2 were audited as positive, none were negative, and 43 were N/A (not applicable).
26. **Is there documented evidence of a witness canvas?** The overall score for this category was **100%**. Of the 45 cases reviewed, 4 were audited as positive, none were negative, and 41 were N/A (not applicable).
27. **Are there composite sketches relative to the case?** The overall score for this category was **No Score**. Of the 45 cases reviewed, 1 was audited as positive, none were negative, and 44 were N/A (not applicable).
28. **Did the Detective complete (initial and date) the Case File Index as items were entered in the Case File?** The overall score for this category was **100%**. Of the 45 cases reviewed, 44 were audited as positive, none were negative, and 1 was N/A (not applicable).
29. **Was the incident appropriately classified?** The overall score for this category was **100%**. Of the 45 cases reviewed, 45 were audited as positive, none were negative, and none were N/A (not applicable).
30. **Was there documented authorization for a signal change if required?** The overall score for this category was **100%**. Of the 45 cases reviewed, 4 were audited as positive, none were negative, and 41 were N/A (not applicable).
31. **Is there documented supervisory review of reports and dispositions?** The overall score for this category was **100%**. Of the 45 cases reviewed, 45 were audited as positive, none were negative, and none were N/A (not applicable).

32. **Did the Auditor listen to recordings of the victim interview(s)?** The overall score for this category was **No Score**. Of the 45 cases reviewed, 16 were audited as positive, none were negative, and 29 were N/A (not applicable). Auditors confirm that recordings were made, if applicable. This is for information only. Not included in the overall compliance score.
33. **Did the Auditor listen to the suspect interview(s)?** The overall score for this category was **No Score**. Of the 45 cases reviewed, 10 were audited as positive, none were negative, and 35 were N/A (not applicable). Auditors did confirm that recordings were made, if applicable. This is for information only. Not included in the overall compliance score.

Mandated Consent Decree paragraph responses (CD #206-#211)

During the audit ARU auditors corroborated to ascertain and verify the below listed information to address Consent Decree paragraphs #206 thru #211 pertaining to the Sex Crimes Unit:

CD #206

During the first year of this Agreement, neither patrol officers nor detectives shall code reported sexual assaults in a miscellaneous or non-criminal category without the express written approval of the Investigations & Support Bureau Special Victim Section Commander and the Investigations & Support Bureau Criminal Investigations Division Commander. Following this period, patrol officers shall not code reported sexual assaults in a miscellaneous or non-criminal category without their immediate supervisor first approving. Any decision by a detective to do so shall receive close secondary review and shall be approved in writing by an immediate Sex Crimes unit supervisor and the Division command.

As per Consent Decree paragraphs #206 and #207, the Auditing Review Unit retrieved the CAD data regarding sexual assault cases matching the stated criteria from the NOPD SQL Database.

During the Sex Crimes audit the Auditing Review Unit reviewed the sexual assault cases handled by the Sex Crimes Unit for the 2nd half of 2022. The review revealed 301 cases were initially called in as a sex crimes Unit with eight (8) cases matching the criteria listed in CD #206; as a call initiated as a sex crime but later changed to a miscellaneous incident or non-criminal category that was cleared. The audit revealed the SVU section was compliant with CD #206 regarding calls initiated as sex crimes and later changed to miscellaneous incidents or non-criminal categories.

Incident Recalls:

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. The change of signal was approved by the First District supervisor (130B).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. Supervisor approval was not required for this signal change, as it is an APR call.

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. The change of signal was approved by the Second District supervisor (220B).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of RTF. The change of signal was approved by the Fifth District supervisor (530A).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the

disposition of NAT. The change of signal was approved by the Seventh District supervisor (720C).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. The change of signal was approved by the Seventh District supervisor (730C).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. The change of signal was approved by the First District supervisor (120D).

The call was initiated as a signal 42 (Aggravated Rape) and later changed to a signal 21 with the disposition of NAT. The change of signal was approved by (9320). SVS (4573) was notified.

CD #207

NOPD agrees to train supervisors and investigators in the Sex Crimes unit in the proper definitions and application of “unfounded,” “false,” and “baseless” classifications in the context of sexual assault. The immediate supervisor in the Sex Crimes Unit and the Special Victims Section Commander shall closely review and approve in writing any decision to classify a report as “unfounded.” NOPD agrees to track each of these conclusions separately in NOPD’s CCMS and publicly report them on at least a semi-annual basis.

After the cyber-attack in December of 2019, the NOPD’s CCMS system still has not been restored and is unavailable for tracking and reporting purposes. Since that time the Special Victim’s Section has created and used a Microsoft Excel spreadsheet for tracking purposes. During the Sex Crimes Checklist Audit the Auditing Review Unit reviewed the sexual assault cases as input into the Sex Crimes Unit for the 2nd half of 2022. The review revealed that of the 301 cases documented by the Sex Crimes Unit there were seventeen (17) cases matching the criteria listed in CD #207 as a call initiated as a sex crime and later cleared with the disposition of “unfounded”. The audit revealed the SVU section was compliant with CD #207 regarding calls initiated as sex crimes and later cleared with the disposition “unfounded” by Sex Crimes.

CD #208

The Department is required to track all reports of felony sexual assault including drug-facilitated sexual assault, sexual assaults involving persons with disabilities rendering them unable to consent, sodomy, and male victims of sexual assault. The Department must collect data on the final disposition of sexual assault investigations, including whether an arrest was made and whether the DA charged the suspect or rejected the case and, if so, the reason for the rejection if the DA provides a reason.

Lieutenant from SVS advised that the CCMS system has been inoperable since the December 2019 cyber-attack. As a result, the SVD (Special Victims Division) continues to store all sexual assault cases (to include felony cases) in the Sexual Assault KIT Database log.

CD #209

The New Orleans Police Department is required to track in an Information Management System the Evidence collected and whether it is submitted to a crime lab for testing. Where Evidence is not submitted, the NOPD agrees to record in this system the justification for the decision.

Detective of the Investigative Support Bureau continues to track the SVU Evidence Log. The log consists of evidence entries and outgoing evidence lab testing. The log is a spreadsheet consisting of formulas that allows the detective to track cases that are entered within the log.

CD #210

The Department is required to work with the District Attorney (DA), community service providers, and other stake holders to develop and implement Sexual Assault Response Team (SART). SART was effectively established over five years ago and meets monthly.

A member of SART advised that it has been a pleasure working with NOPD's SVU as a community partner. Ms. Giles-Hernandez additionally advised that she has witnessed countless acts of selfless-service and acts of excellence from NOPD's SVU.

CD #211

The Department developed a committee of representatives from the community, including rape crisis advocates, service providers, and/or legal providers to review, on a semi-annual basis (1) sexual assault investigation dispose of as unfounded. (2) a random sample of open sexual assault

investigations with the approval of the DA. (3) reported a sexual assault placed in a miscellaneous are non-criminal category. The Department has agreed to ensure that feedback and recommendations from the committee are incorporated into policies, general training, remedial training for specific officers are detectives, and the decision to re-examine and re-open investigations, if warranted.

A member of SART and the NOFJC, advised that the NOPD is actively participating with the established committees such as SART. They advised that the organizations and the NOPD discuss unfounded dispositions on a frequent basis. The Assistant District Attorney from the Orleans Parish District Attorney's Office SVU section advised that the relationship with the NOPD's SVU Section is "great". A supervisor with the OPDA's Office SVU Section, advised that the NOPD's SVU is a great business partner and stated that the Lieutenant and the Detectives go above and beyond with their assigned cases. There has been no change in the participating members/partners.

Overall Compliance Score (Final)

Based on the combined total of the one thousand three hundred ninety-five (**1,395**) checklist items rated, from the sample size of forty-five (**45**) case files audited; the ***“overall score”*** of this 2nd Half Semi-Annual Sex Crimes Unit case file audit conducted by the Auditing Review Unit was **99.9%**.

Conclusion (Final)

Final Results

The overall results of the 2nd Semi-Annual 2022 Sex Crimes audit revealed a compliance threshold score of **99.9%**. The following checklist items revealed threshold scores **below 95%**:

16. Is there a medical and/or SANE report)?

Recommendations

1. The Audit and Review Unit recommends that the immediate supervisors of the Sex Crimes Unit conduct regular reviews of detectives' case files for the presence of all mandatory documentation. Such action would hopefully ensure that all Sex Crimes Unit case files are complete.

Unit Response to recommendations

Lt. Celious will ensure the Sex Crimes Supervisors scrutinized their detectives case files more closely to ensure all relevant documents are enclosed in the case files. Overall, the supervisors are performing well conducting their own internal audits, but it's very easy to overlook some items. Lt. Celious will also ensure detectives document the victim's participation in other resource agencies other than the NOFJC. The victims ultimately determine where they would like to obtain services and counseling.

Sex Crimes Unit Responses & PSAB Notes:

Unit Re-evaluation:

16. Is there a medical and/or SANE report)?

- As noted in the audit, a sexual assault kit was completed but the SANE report was not located in the case file. (Det. Herman Franklin)

PSAB Response: No further action required by PSAB.

17. Does the EPR or Supplemental Report document the required referral to NOFJC?

- 1 item did not require a referral to NOFJC because the victim elected to utilize STAR for advocacy purposes, from the beginning of the investigation.
- 1 item did not require a referral to NOFJC because Advocate Marissa was present at the hospital and during the execution of the sexual assault examination. This is documented in the SANE report. The victim was directly linked to NOFJC, at the onset of the investigation.

PSAB Response: PSAB updated both items to NA as referrals were not required.

20. Is there a suspect statement (video, audio, or transcribed)?

- During the investigation, the detective attempted to contact the suspect to no avail. He used various databases to locate other identifying information but was unsuccessful. Also, the victim has not completed the photographic lineup procedure, with the detective. The lineup has been obtained and is in the case file. Therefore, a suspect statement would not be present in the case file. (Det. Herman Franklin).

PSAB Response: PSAB updated item to NA as suspect statement not yet obtained as suspect not yet named.

ARU Attachments: Excel Raw Data Spreadsheets for 2nd Semi-Annual 2022.

Timothy A. Lindsey

**Innovation Manager, Auditing
Professional Standards and Accountability Bureau**

Jovan M. Berry

**Auditor
Professional Standards and Accountability Bureau**

Appendix C – Report Distribution

Deputy Supt. PSAB Bureau

Captain PSAB Bureau

Deputy Supt. ISB Bureau

Captain ISB Bureau

Lieutenant SVS Unit

ARU Unit