



Performance Standards Section
Professional Standards and Accountability Bureau

Use of Force Audit Report

1st Half 2021 (Jan – Jun)

Submitted by PSAB: October 21, 2021
Response from FOB: October 27, 2021
Final Report: November 12, 2021

Audit Team

This audit was managed and conducted by the Professional Standards and Accountability Bureau individuals listed below:

Timothy Lindsey, Innovation Manager - Auditing
Lanitra Lacey, Auditor
Betty Johnson, Auditor
Chelsea Albritton, Auditor
Mekensie Maxwell, Auditor

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Introduction

The Auditing and Review Unit (ARU) of the Professional Standards and Accountability Bureau conducted an audit of Use of Force Level 1 to 3 incidents (Phase 1 audit). The time span to conduct the Phase 1 audit was from July 26th, 2021 to August 27th, 2021. Phase 2 audits, which involves reviews of the Use of Force Review Board (UOFRB) meetings and subsequent follow-up, are conducted after each scheduled meeting and will be reported in a separate report.

Purpose

The Use of Force audit is conducted to verify departmental compliance with the Consent Decree and NOPD Operations Manual as it pertains to “Use of Force” and the subsequent investigations. The following are the NOPD Policy Chapters involved:

Chapter 1.3.6 Reporting Use of Force
Chapter 1.7.1 Conducted Energy Weapon (CEW)
Chapter 41.3.8 In Car Camera
Chapter 41.3.10 BWC

Scope

This audit assesses and documents whether the force employed by New Orleans Police Department (NOPD) officers is documented and recorded properly, and whether supervisors conducted thorough follow-up investigations. Once the review is completed, the audit manager will submit a report to the Deputy Chief of Field Operations Bureau (FOB), and the Captain of the Professional Standards and Accountability Bureau (PSAB) pointing out any deficiencies or confirming a thorough investigation. These audit reports will assist in ensuring officers and supervisors are informed of where opportunities for improvement exist as it relates to the proper reporting and documentation of Use of Force investigations in the future. A “final report” will also be sent to the appropriate monitor from the OCDM. The audit assesses the following aspects of officer and supervisor responsibilities:

- Whether involved officers appropriately complied with pre-use-of-force requirements (e.g., de-escalation, warnings)
- Whether audited uses of force are consistent with policy and law
- Whether involved officers appropriately complied with post-use-of-force requirements (e.g., immediate notifications, provision of medical aid)
- Whether the involved officers and witness officers completed required reports
- Whether supervisors responded to the scene of uses of force, when required
- Whether supervisors appropriately investigated uses of force, including reviews of available recordings
- Whether supervisors appropriately reviewed use-of-force reports
- Whether the chain of command appropriately reviewed use-of-force reports
- Whether potentially out-of-policy uses of force resulted in referral to Public Integrity Bureau
- Whether the Use of Force Review Board appropriately evaluated serious use of force incidents.

Phase I auditing will consist of reporting and investigating force; Phase II auditing will consist of assessing the Use of Force Review Board.

Methodology

Population source – IAPro Force Investigation Team (FIT) Incident List (NOPD source file)

Sample size – 25% of Level 1 and 2, 50% of Level 3 incidents, 100% of Level 4 incidents.

Documentation to be reviewed – All documents and investigative material contained within each individual FIT file, as well as associated police reports.

Testing Instrument(s) – New Orleans Police Department Operations Manual Chapters aforementioned and a twenty-five (25) point Use of Force Audit Checklist.

Each individual incident file will be audited in its entirety via “double-blind” auditing process by two (2) members of the Auditing and Review Unit (ARU), to give a reliable and thorough review of each use of force incident.

Data

While the audit range is usually set for every three months (Quarterly), this review encompassed a six months period. The FIT IAPro system file dump provides the ARU team all item numbers that were investigated during that audit period. ARU then takes those item numbers and enters them into the EXCEL’s randomizer generator for items to be selected for review. ARU then reviews 25% of the L1-L2 items, 50% of the L3 items and all L4 (if reviewed) within the audit range.

The total current sample size was 47 files, which consisted of 45 of the 182 Level 1&2 items and 2 of the 4 Level 3 items, via EXCEL’s “RAND” function, for the period of January to June of 2021.

Initiating and Conducting the Use of Force Audit

Timothy Lindsey, Innovation Manager- Auditing, downloaded the dump of data from the IAPro system on July 7th, 2021, to prep the Use of Force sample distribution file that would be utilized by ARU, for the audit period of July 17th – September 15th, 2021.

Orleans Parish Communications District (OPCD) was contacted to provide the required GIST emails associated with the selected distribution sample items on August 16th.

During this audit prep, the sample was then parsed and distributed to the assigned auditors for initial review of allocation count in preparation for the audit.

Each item case file was then systematically reviewed via “double-blind” audit process by the Auditing and Review Unit, based on each case file’s compliance with the New Orleans Police Department Operations Manual Chapters, as it relates to “Use of Force” investigations. To facilitate this process, the team used the twenty-five (25) point Use of Force audit checklist from the protocol document, as the tool to review and analyze the content of every case file.

The following checklist below was the instrument used by the auditing team to review each case file.

USE OF FORCE L1-L3 REVIEW CHECKLIST

Item Number: _____
 Auditors: _____
 District: _____
 FTN Tracking # _____

NA = Not Applicable
 Y = Compliant
 N = Not compliant/No
 U = Unknown

Supervisor GIST Submitted by ETOD (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Force Statement(s) Found (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Boilerplate Language was avoided in Force Statement(s) (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Officer Force Statement(s) Submitted by ETOD (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Reason(s) for Encounter Documented in Force Statement(s) (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Supervisor Responded to the Incident (L2-L4)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Force Details Documented (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
BWC was Activated Per Policy (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
BWC was Reviewed by Supervisor (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Dash Cam was Activated Per Policy (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Dash Cam was Reviewed by Supervisor (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
If CEW was Activated, it was within Policy_L2-L3	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Each CEW cycle was Justified within Policy, if Activated (L2-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
CEW was Reviewed by Supervisor, if Activated (L2-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Officer was Checked for Injuries (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Photograph(s) taken of Officer Injuries (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Subject of Force was Checked for Injuries (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Photograph(s) taken of Subject of Force Injuries (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Subject of Force Interview Exists (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Supervisor Avoided Leading Questions (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Canvass for Witness(es) was Made (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Supervisor's UoF Investigation was Submitted within 72 hrs. (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Supervisor's UoF Investigation Request was Sent to Division Captain (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Reasonableness of Force was Documented (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
Equip, Training or Policy Issues were Addressed by Supervisor (L1-L3)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U

Explain in the narrative below whether there were any exceptional strategies used by the investigator or any deficiencies noted in the case investigation by /Auditor.

1st Half Case Files Audited

The breakdown of the Auditors that conducted each “double-blind” incident case file audit is as follows:

Betty Johnson & Mekensie Maxwell (13)

A-01281-21	D-04880-21	D-24338-21	D-39936-21
F-02639-21	J-39410-20	L-07363-20	L-09129-20
L-09437-20	L-15242-20	L-27481-20	L-32178-20
L-29877-20			

Chelsea Albritton & Lanitra Lacey (23)

A-32487-21	L-16335-20	B-05501-21	B-10715-21
B-10953-21	B-19548-21	L-06508-20	L-03079-20
C-03431-21	C-16832-21	C-31281-21	D-00545-21
D-03117-21	D-07565-21	D-08532-21	D-19266-21
D-26612-21	E-06229-21	E-22378-21	E-25281-21
F-09238-21	K-33721-20	K-34657-20	

Chelsea Albritton & Mekensie Maxwell (3)

D-06223-21	E-13015-21	L-07144-20	
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Mekensie Maxwell & Tim Lindsey (5)

A-30444-21	B-07008-21	E-35249-21	F-03355-21
H-11504-20			

Mekensie Maxwell & Lanitra Lacey (3)

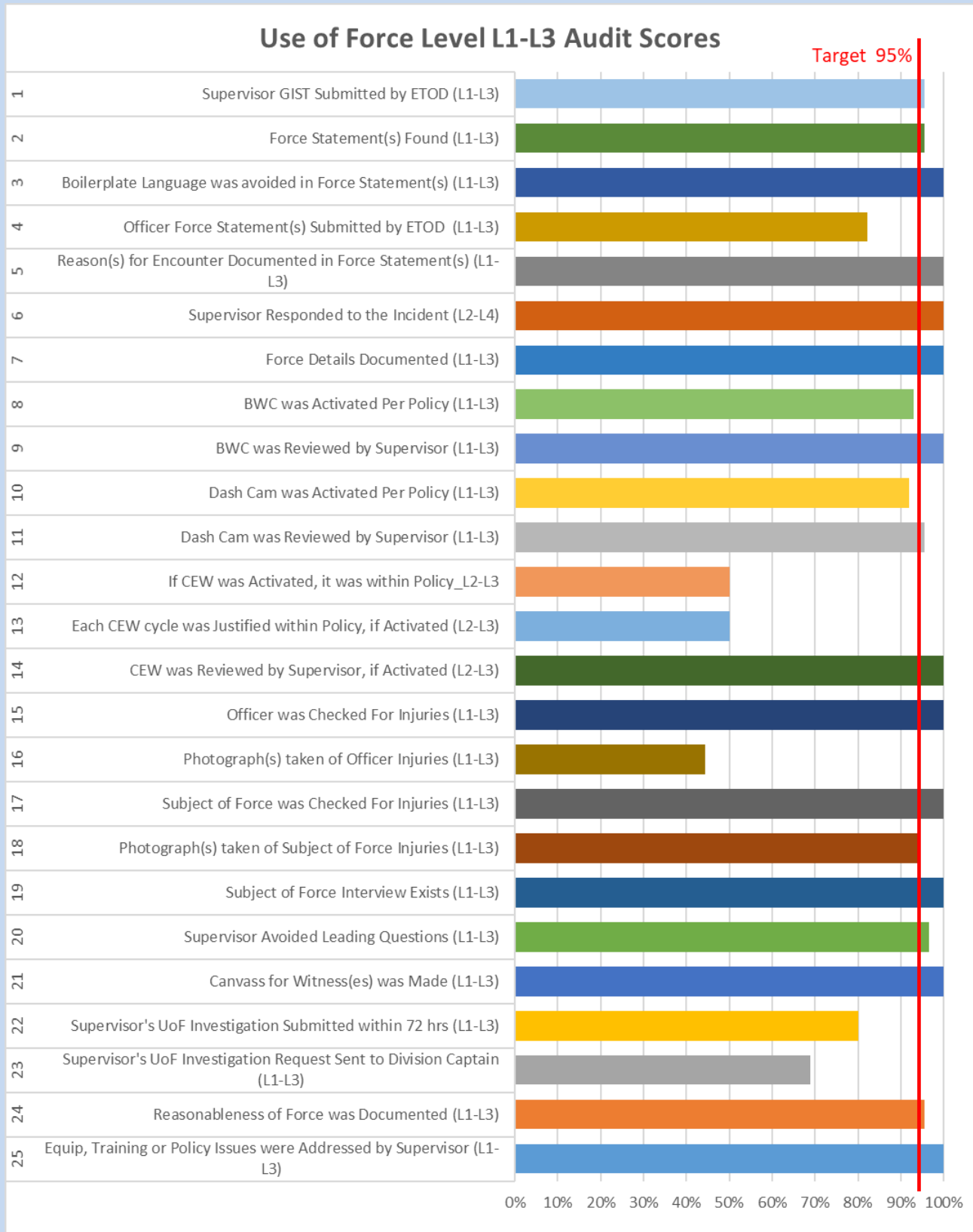
D-06223-21	E-13015-21	L-07144-20	
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Total: 47 Case Files

Use of Force Checklist Scorecard – 1st Half 2021

Qs	CD	NOPD Chapters	Description	Total Compliant (Y)	Total (Y&N)	Total Unknown	Total NA	Checklist Score
1	87	Ch 1.3.6 p28, Ch. 1.3 p21(e), Ch. 1.7.1 p45	Supervisor GIST Submitted by ETOD (L1-L3)	44	47	0	0	94%
2	78, 81	Ch 1.3.6 p16, p18	Force Statement(s) Found (L1-L3)	45	47	0	0	96%
3	79	Ch 1.3.6 p17	Boilerplate Language was avoided in Force Statement(s) (L1-L3)	47	47	0	0	100%
4	88	Ch 1.3.6 p6, p19	Officer Force Statement(s) Submitted by ETOD (L1-L3)	38	47	0	0	81%
5	78	Ch 1.3.6 p16, Ch. 1.7.1, p36	Reason(s) for Encounter Documented in Force Statement(s) (L1-L3)	47	47	0	0	100%
6	84, 86(a)	Ch 1.3.6 p25, Ch. 1.7.1 p77, p80, p90	Supervisor Responded to the Incident (L2-L4)	43	43	0	4	100%
7	78	Ch 1.3.6 p16, Ch. 1.7.1, p36	Force Details Documented (L1-L3)	47	47	0	0	100%
8	Ch 41.3.10	Ch 41.3.10 p11	BWC was Activated Per Policy (L1-L3)	42	45	0	2	93%
9	86(d)	Ch 1.3.6 p33, Ch. 41.3.10 p35	BWC was Reviewed by Supervisor (L1-L3)	44	44	0	3	100%
10	Ch 41.3.8	Ch 41.3.8 p14	Dash Cam (In Car Camera) was Activated Per Policy (L1-L3)	25	27	0	20	93%
11	86(d)	Ch 1.3.6 p33	Dash Cam (In Car Camera) was Reviewed by Supervisor (L1-L3)	23	24	0	23	96%
12	54	Ch 1.3.6 p28, p33	If CEW was Activated, it was within Policy_L2-L3	2	4	0	43	50%
13	56	Ch 1.3.6 p31, Ch. 1.7.1, p.53, p57	Each CEW cycle was Justified within Policy, if Activated (L2-L3)	2	4	0	43	50%
14	67	Ch 1.3.6 p33, Ch. 1.7.1 p 91, p104, p106, p113	CEW was Reviewed by Supervisor, if Activated (L2-L3)	4	4	0	43	100%
15	86(d)	Ch 1.3.6 p28, p33	Officer was Checked For Injuries (L1-L3)	27	27	0	20	100%
16	86(d)	Ch 1.3.6 p33, Ch. 1.7.1 p107(e), p79, p80	Photograph(s) taken of Officer Injuries (L1-L3)	4	9	0	37	44%
17	86(a)	Ch 1.3.6 p28, p33, Ch. 1.7.1, p74, p78, p84	Subject of Force was Checked For Injuries (L1-L3)	32	32	0	15	100%
18	86(d)	Ch 1.3.6 p33, Ch. 1.7.1 p107(e), p79, p80	Photograph(s) taken of Subject of Force Injuries (L1-L3)	20	21	1	25	95%
19	86(a)	Ch 1.3.6 p28	Subject of Force Interview Exists (L1-L3)	29	29	0	18	100%
20	86(f)	Ch 1.3.6 p28	Supervisor Avoided Leading Questions (L1-L3)	30	31	0	16	97%
21	86(e)	Ch 1.3.6 p28, Ch. 1.7.1 p. 82	Canvass for Civilian Witness(es) was Made (L1-L3)	24	24	1	22	100%
22	88	Ch 1.3.6 p32	Supervisor's UoF Investigation Submitted within 72 hrs (L1-L3)	38	47	0	0	81%
23	88	Ch 1.3.6 p32	Supervisor's UoF Extension Request Sent to Division Captain (L1-L3)	33	47	0	0	70%
24	88(a)	Ch 1.3.6 p31, Ch. 1.7.1 p5, p46	Reasonableness of Force was Documented (L1-L3)	45	47	0	0	96%
25	86(c), 88(a,d,e)	Ch 1.3.6 p28, p33	Equip, Training or Policy Issues were Addressed by Supervisor (L1-L3)	46	46	0	1	100%
Total				781	837	2	335	93%

Use of Force Scorecard Bar Chart – 1st Half 2021



Case File Reviews – 1st Half 2021

The below listed information reveals the outcome of the Audit Team's checklist reviews.

1. **Was the supervisor GIST submitted by ETOD?** The overall score for this category was **94%**. Of the 47 cases reviewed, 44 were audited as positive, and 3 were negative (B-10715-21, F-09238-21, L-29877-20)
2. **Were the required force statement(s) found?** The overall score for this category was **96%**. Of the 47 cases reviewed, 45 were audited as positive, and 2 were negative (K-33721-20, L-07144-20).
3. **Was boilerplate language avoided in force statement(s)?** The overall score for this category was **100%**. Of the 47 cases reviewed, all 47 were audited as positive.
4. **Were officer force statement(s) submitted by ETOD?** The overall score for this category was **81%**. Of the 47 cases reviewed, 38 were audited as positive, and 9 were negative (B-01977-21, B-10715-21, C-31281-21, D-19266-21, E-13015-21, K-34657-20, L-07144-20, L-16335-20, L-29877-20).
5. **Were the reason(s) for encounter documented in force statement(s)?** The overall score for this category was **100%**. Of the 47 cases reviewed, 47 were audited as positive.
6. **Did the supervisor respond to the incident, if required?** The overall score for this category was **100%**. Of the 47 cases reviewed, 43 were audited as positive, and 4 were N/A (not applicable).
7. **Were the force details documented in the statement(s)?** The overall score for this category was **100%**. Of the 47 cases reviewed, 47 were audited as positive.
8. **Was the BWC activated per policy?** The overall score for this category was **93%**. Of the 47 cases reviewed, 42 were audited as positive, 3 were negative (D-39936-21, F-02639-21, J-39410-21), and 2 were N/A (not applicable)
9. **Was the BWC reviewed by supervisor as required?** The overall score for this category was **100%**. Of the 47 cases reviewed, 44 was audited as positive, none were negative, and 3 were N/A (not applicable).
10. **Was the Dash Cam activated per policy?** The overall score for this category was **93%**. Of the 47 cases reviewed, 25 were audited as positive, 2 were negative (B-05501-21, C-31281-21), and 20 were N/A (not applicable).
11. **Was the dash cam reviewed by supervisor?** The overall score for this category was **96%**. Of the 47 cases reviewed, 23 were audited as positive, 1 was negative (L-32178-21), and 23 were N/A (not applicable).
12. **If CEW was activated, was it within policy?** The overall score for this category was **50%**. Of the 47 cases reviewed, 2 were audited as positive, 2 were negative (D-39936-21, H-11504-21), and 43 were N/A (not applicable).
13. **Was each CEW cycle justified within policy, if discharged?** The overall score for this category was **50%**. Of the 47 cases reviewed, 2 were audited as positive, 2 were negative (D-39936-21, H-11504-21), and 43 were N/A (not applicable).
14. **Was CEW reviewed by supervisor, if activated?** The overall score for this category was **100%**. Of the 47 cases reviewed, 4 were audited as positive, and 43 were N/A (not applicable).

15. **Was officer checked for injuries, if occurred?** The overall score for this category was **100%**. Of the 47 cases reviewed, 27 were audited as positive, and 20 were N/A (not applicable).
16. **Was photograph(s) taken of officer Injuries, if occurred?** The overall score for this category was **44%**. Of the 47 cases reviewed, 4 were audited as positive, 5 were negative (A-30444-21, B-01977-21, C-16832-21, D-03117-21, E-25281-21), and 37 were N/A (not applicable).
17. **Was subject of force checked for injuries?** The overall score for this category was **100%**. Of the 47 cases reviewed, 32 were audited as positive, and 15 were N/A (not applicable).
18. **Was photograph(s) taken of subject of force injuries, if occurred?** The overall score for this category was **95%**. Of the 47 cases reviewed, 20 were audited as positive, 1 was negative (H-11504-21), 1 was unknown (J-39410-21), and 25 were N/A (not applicable).
19. **Does subject of force interview exist?** The overall score for this category was **100%**. Of the 47 cases reviewed, 29 were audited as positive, and 18 were N/A (not applicable).
20. **Did supervisor avoid leading questions (L1-L3)?** The overall score for this category was **97%**. Of the 47 cases reviewed, 30 were audited as positive, 1 was negative (A-01281-21), and 16 were N/A (not applicable).
21. **A canvass for witness(es) was conducted, if applicable (L1-L3)?** The overall score for this category was **100%**. Of the 47 cases reviewed, 24 were audited as positive, 1 was unknown (L-03079-21), and 22 were N/A (not applicable).
22. **Was the supervisor's UoF investigation submitted within 72 hrs. of incident (L1-L3)?** The overall score for this category was **81%**. Of the 47 cases reviewed, 38 were audited as positive, and 9 were negative (A-32487-21, B-19548-21, B-31795-21, D-06223-21, D-08532-21, D-24338-21, K-34657-20, L-03079-20, L-07363-20).
23. **Was the supervisor's UoF investigation request sent to division captain (L1-L3)?** The overall score for this category was **70%**. Of the 47 cases reviewed, 33 were audited as positive, and 14 were negative (A-32487-21, B-10953-21, B-19548-21, B-31795-21, C-16832-21, D-00545-21, D-06223-21, D-08532-21, D-19266-21, D-24338-21, D-26612-21, K-34657-20, L-03079-20, L-07363-20).
24. **Was the reasonableness of force documented (L1-L3)?** The overall score for this category was **96%**. Of the 47 cases reviewed, 45 were audited as positive, and 2 were negative (D-39936-21, H-11504-21).
25. **Were Equip, Training or Policy Issues addressed by supervisor (L1-L3)?** The overall score for this category was **100%**. Of the 47 cases reviewed, 46 was audited as positive, and 1 was N/A (not applicable).

1st Half 2021 – Initial Compliance Score

Based on the combined total of one-thousand one-hundred seventy-four (**1,174**) checklist items rated, from the sample size of twenty-one (**47**) case files audited; the “**overall score**” of this Use of Force case file checklist audit conducted by the Auditing and Review Unit was **93%**.

Conclusion

Initial Results

The overall results of the 1st Half 2021 Use of Force audit have revealed that 9 of the 25 checklist questions had compliance threshold scores **below 95%**:

Q1. Was the supervisor GIST submitted by ETOD? The overall score for this category was **94%**. (B-10715-21, F-09238-21, L-29877-20). The GIST emails for these items were not able to be located by the Communications District.

Q4. Were officer force statement(s) submitted by ETOD? The overall score for this category was **81%**. (B-01977-21, B-10715-21, C-31281-21, D-19266-21, E-13015-21, K-34657-20, L-07144-20, L-16335-20, L-29877-20). These items had late submittals for use of force statements.

Q8. Was the BWC activated per policy? The overall score for this category was **93%**. (D-39936-21, F-02639-21, J-39410-21). Issues include missing BWC of ECW discharge, missing BWC of take-down, missing BWC of firearm pointing.

Q10. Was the Dash Cam (In Car Camera) activated per policy? The overall score for this category was **93%**. (B-05501-21, C-31281-21). Video could not be located.

Q12. If CEW was activated, was it within policy? The overall score for this category was **50%**. (D-39936-21, H-11504-21).

Q13. Was each CEW cycle justified within policy, if discharged? The overall score for this category was **50%**. (D-39936-21, H-11504-21).

Q16. Was photograph(s) taken of officer Injuries, if occurred? The overall score for this category was **44%**. (A-30444-21, B-01977-21, C-16832-21, D-03117-21, E-25281-21). Photographs of potential officer injuries were not located in IAPro.

Q22. Was the supervisor's UoF investigation submitted within 72 hrs. of incident (L1-L3)? The overall score for this category was **81%**. (A-32487-21, B-19548-21, B-31795-21, D-06223-21, D-08532-21, D-24338-21, K-34657-20, L-03079-20, L-07363-20). The listed items appeared to show reports dated past the 72 hrs. limit and no extension listed in IAPro.

Q23. Was the supervisor's UoF investigation extension request sent to division captain (L1-L3)?

The overall score for this category was **70%**. (A-32487-21, B-10953-21, B-19548-21, B-31795-21, C-16832-21, D-00545-21, D-06223-21, D-08532-21, D-19266-21, D-24338-21, D-26612-21, K-34657-20, L-03079-20, L-07363-20. The listed items had no extension email attached in IAPro.

There were no other areas of concern identified.

Recommendations

1. It is recommended by the Auditing and Review Unit that the investigating supervisors of Use of Force ensure all documentation regarding use of force files are accounted for in the FIT system (IAPro) where all mandatory documentation resides.

Taking this action would hopefully ensure that all Use of Force case files are complete.

Use of Force Responses & PSAB Notes:

None

Use of Force Review Board Checklist – 3rd Quarter 2021

Use of Force Review Board Meeting Assessment

Review Period: 3rd Quarter, 2021

ARU percentages for Consent Decree requirements for Use of Force Checklist Audit.

Reconciled

3Q

2021

Check-List Questions	Score	Y	N	U	NA	Consent Decree #	NOPD Policy Chapters
1 Voting Members Were Present As Required.	100%	12	-	-	-	87	Ch 1.3.7 p10
2 Non-Voting Members Were Present As Required (or appointed stand-in as needed).	100%	12	-	-	-	78, 81	Ch 1.3.7 p11
3 Secretary of Board Was Present (or appointed stand-in as needed).	100%	12	-	-	-	79	Ch 1.3.7 p12
4 Secretary of Board Reported Out Any Pending Action(s) from Previous Board Meeting, if applicable.	100%	12	-	-	-	88	Ch 1.3.7 p13
5 This UOFRB Meeting Convened within 30 Days of the Last Meeting (note any exceptions), Meeting Commenced every 30-days	100%	12	-	-	-	78	Ch 1.3.7 p14
6 The Secretary of Board Conducted a Roll Call of all Present for Meeting.	100%	12	-	-	-	84, 86(a)	Ch 1.3.7 p15
7 The Board Meeting convened no longer than 30 days from receipt of a completed FTI Investigation (unless documented exceptions via the Supt of Police)	100%	12	-	-	-	78	Ch 1.3.7 p16
8 UOFRB Heard the Case Presentation From FTI Investigator.	100%	12	-	-	-	Ch 41.3.10	Ch 1.3.7 p17
9 Affirm or Reject Investigative Recommendations. (Auditor confirmed whether Voting Members agreed or disagreed with the FTI outcome).	100%	12	-	-	-	86(d)	Ch 1.3.7 p18
10 Policy Violation(s) to be Referred to PIB for Disciplinary Action as agreed among Voting Members, if applicable.	100%	10	-	-	2	Ch 41.3.8	Ch 1.3.7 p19
11 Policy Violation(s) Referred from Last UOFRB Meeting were presented by Board Secretary, if applicable.	100%	10	-	-	2	86(d)	Ch 1.3.7 p20
12 UOFRB Reviewed Incident to determine whether it raised policy, training, equipment, or tactical concerns.	100%	12	-	-	-	54	Ch 1.3.7 p21
13 Non-Disciplinary Corrective Action(s) were Recommended, if applicable.	100%	6	-	-	6	56	Ch 1.3.7 p22
14 Findings and Recommendations in a report (The Meeting Minutes) were sent to UOFRB from FTI within 15-days of the conclusion of the Last Hearing	100%	12	-	-	-	67	Ch 1.3.7 p23
15 The Hearing was recorded as required for UOFRB Audio-Taped Hearings	100%	12	-	-	-	86(d)	Ch 1.3.7 p24
16 Auditor(s) Assessed Force Investigation Team Week Spreadsheet and ensured required incidents brought to the Board for a Hearing	100%	12	-	-	-	86(d)	Ch 1.3.7 p25
Total	100%	182	-	-	10		

General Comments

ARU assessed the UOFRB Meeting for case items for the defined period, for completeness and accuracy as required by the Consent Decree.

For an explanation of the procedures and scoring system for this review, see the associated "Protocol" document.

For a list of relevant policies, contact ARU as needed.

For the assessment results for each case file, see the accompanying RawData spreadsheets.

Scores below 95% are highlighted in red.

Recommendations

It is recommended by the Auditing and Review Unit that there are no deficiencies in the UOFRB process at this time.

Attachments:

Use of Force Level 1-3 Excel Raw Data Spreadsheet 1st Half 2021.

Use of Force Review Board Raw Data Spreadsheet 3rd Quarter 2021.

Timothy A. Lindsey, Innovation Manager, Auditing Professional Standards and Accountability Bureau

Appendix C – Report Distribution

Deputy Supt. PSAB Bureau

Captain PSAB Bureau

Deputy Supt. FOB Bureau