



Audit and Review Unit
Professional Standards and Accountability Bureau

CIT Audit Report

November 2023

Report #: CIT112023

Review Period: May 1, 2023 - October 31, 2023

Submitted by PSAB: November 27, 2023

Response from FOB: January 10, 2024

Final Report: January 29, 2024

Audit Team

This audit was managed and conducted by the Professional Standards and Accountability Bureau

Executive Summary

The Audit and Review Unit (ARU) of the Professional Standards and Accountability Bureau (PSAB) completed a Crisis Intervention Team (CIT) Audit in November 2023. The audit covered the period from May 1st, 2023 - October 31st, 2023. This audit is conducted to ensure that New Orleans Police Department (NOPD), as agreed by the Consent Decree (CD), minimizes the necessity for the use of force against individuals in crisis due to mental illness or a diagnosed behavioral disorder. NOPD agrees to ensure that audits are conducted professionally and effectively, in order to elicit accurate and reliable information.

This process is regulated by Chapter 41.25 of the New Orleans Operations Manual. (CD 111-13, 115-116).

Note: CD Paragraphs 114-119 concern CIT training requirements and are addressed separately via the Academy.

This audit was conducted using the CIT Protocol. The audit addresses the seventeen (17) CIT Incident Audit Checklist questions.

Number of Non-Compliant Checklist Questions (3):

Q1: The CIT form was completed properly for the Item Number - (93%)

Q10: The officers secured the scene and used proper safety precautions, including physical searches prior to transport - (81%)

Q17: After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality of the interaction was compliant- (92%)

Number of CAD CIT Incidents Used to Create Sample: (2, 214)

Number of CIT Trained Officers that Responded to Scene in the audit sample: (81)

Final Audit Sample Target Number: (109)

The sample target represented ~5% of available universe (2,214)

Scores of 95% or higher are considered substantial compliance. Supervisors should address any noted deficiencies with specific training through In-service Training classes or Daily Training Bulletins (DTBs). This training should be reinforced by close and effective supervision in addition to Supervisor Feedback Logs entries.

The overall compliance score of the CIT Incident Audit is as follows: (96%)

More detailed results are embedded in the Scorecards and Conclusion sections.

Table of Contents

<i>Audit Team</i>	1
Executive Summary	2
Introduction	4
<i>Purpose</i>	4
<i>Background</i>	4
<i>Scope</i>	4
<i>Methodology</i>	4
<i>Data</i>	5
<i>Deselected Data</i>	5
 <i>Initiating and Conducting the CIT Audit</i>	 6
 CIT Bar Chart Scorecard	 7
 CIT Data Scorecard - Overall.....	 8
 CIT Data Scorecard – By District	 9
 CIT Incident Audit Reviews	 10
 CIT Planning Committee Audit Review (May 2023).....	 12
 Compliance - Summary	 13
 Conclusions	 14
<i>Results</i>	14
<i>Recommendations</i>	14
 District Responses & PSAB Notes:	 15
 CIT Incident Audit Checklist.....	 19
 Appendix C – Report Distribution	 21

Introduction

Professional Standards and Accountability Bureau previously conducted an audit of CIT related incidents in May 2023. This audit is relative to a six-month period (May 2023 - Oct 2023). This audit was initiated and conducted between Nov 3rd, 2023 - Nov 13th, 2023.

Purpose

The CIT Checklist audit was conducted to verify Departmental compliance with the Consent Decree (paragraphs 111-113, 115,116), and NOPD Operations Manual, Chapter 41.25 of the New Orleans Operations Manual.

Background

The Crisis Intervention Program (CIT) was adopted from a nationally recognized CIT model designed to minimize the necessity for use of force against individuals in crisis due to mental illness or a diagnosed behavioral disorder. The NOPD has a Crisis Intervention Planning Committee that meets regularly to recommend changes to policies, procedures, and training methods regarding police contact with persons who may be mentally ill, with the goal of de-escalating the potential for violent encounters. The CIT Planning Committee also serves as a problem-solving forum for interagency issues and ongoing monitoring of outcome indicators collected by each agency. The CIT Program has specific training requirements for CIT-trained officers, all new recruits, and all current officers.

Scope

This audit will determine and document whether there was an appropriate, compassionate, and professional response by officers and supervisors of the New Orleans Police Department in responding to CIT related incidents. This audit will verify through documents and records that the NOPD's Crisis Intervention Program is operating within the guidelines set forth in the Crisis Intervention Team policy. Once the review is completed, the audit manager will submit a report to the Captain of the Professional Standards and Accountability Bureau (PSAB), pointing out any deficiencies or confirming a thorough investigation. This audit report will also inform the Crisis Intervention Planning Committee who meets regularly to analyze, and recommend appropriate changes to policies, procedures, and training methods. A "final report" will also be sent to the appropriate monitor from the OCDM.

Methodology

Population size – All calls for services (CFS) documented through the Orleans Parish Communication District (OPCD), regarding crisis disturbances, all suicide categories, including suicide threats and attempts, for the audit period range.

Sample size – 5% of Computer Aided Dispatch (CAD) records from OPCD identified as CIT and had contact dispositions (NAT, RTF), were selected via EXCEL's "RAND" function from the 2,214 incidents identified as CIT for the period between May 2023 and October 2023. The audit sample was determined to be 109 incidents.

Documentation to be reviewed – All documents and investigative material relative to each incident, including but not limited to EPRs, FICs, Use of Force Reports, CIT Forms, CIT Trained Officer lists, etc..

Testing Instrument(s) –Revised seventeen (17) point CIT Incident Audit Checklist.

Note: The Seven (7) point CIT Planning Committee Audit Checklist is completed by the CIT Innovation Manager.

Each individual incident will be audited in its entirety via “single review” auditing process by one (1) member of the Professional Standards and Accountability Bureau (PSAB). In addition, auditors will be assigned to randomly spot check results from their counterparts, to give a reliable and thorough review of each case file. Following this, the Innovation Manager will review the results and spot-check non-compliant answers.

Data

The audit range is usually set for every six months (Bi-Annual). The CIT incident data is extracted from CAD during that audit range. PSAB will then take that data and enter it into the EXCEL’s randomizer generator for the incidents to be selected for review. PSAB will then review at least 5% of those cases within the audit range.

Deselected Data

All documents and related incidents that are in the sample and are not audited must be deselected. All deselections are recorded in the Deselection Log. A review of the Deselection Log shows there were 4 incidents deselected for this audit. Of the 4 items deselected, 1 was an outside agency, 1 were a non-CIT incident and 2 were gone-on arrival (GOA) regarding consumer.

District	Deselection Reason
1	-NOPD Officers were called by owner of property for a trespasser; no contact made with consumer.
3	-Gone-on arrival (GOA); no contact with consumer.
4	-Louisiana State Troopers took control of the scene and assisted the consumer.
5	-Medical, officers were called out because the person had cancer and told family members he did not want to go to the hospital.

Initiating and Conducting the CIT Audit

The Professional Standards and Accountability Bureau obtained a CAD CIT data dump from the Department's information system's database on November 3rd, 2023. The random sample was then generated using this data and subsequently reviewed and adjusted prior to release for auditing on November 3rd, 2023, to the Auditing and Review Unit (ARU).

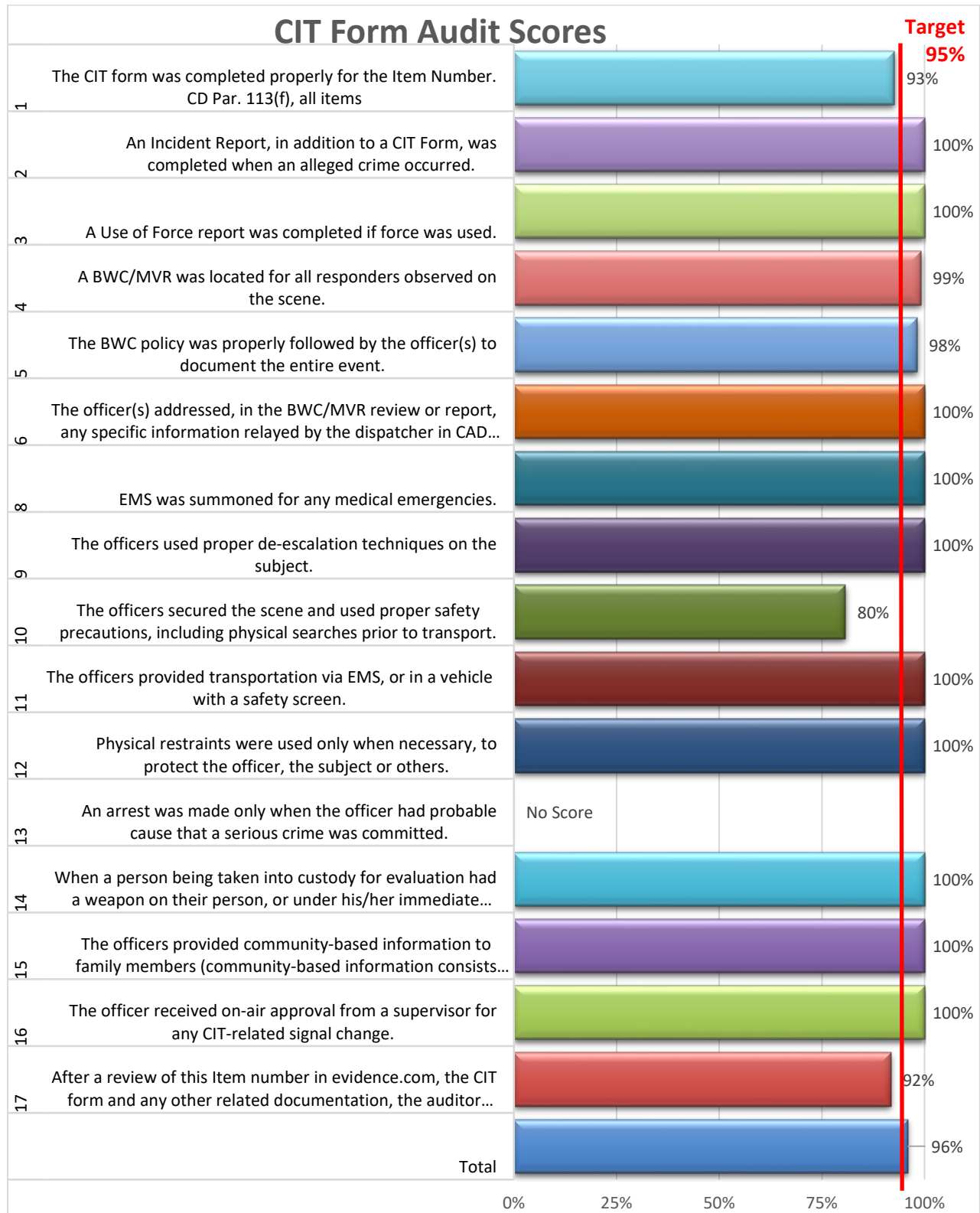
Applying the audit checklist as a guide, the auditors qualitatively assessed the CIT data to determine whether officers/supervisors substantively met the requirements of policy.

1. Each auditor was assigned a numeric count of incidents to be audited utilizing the single review auditing process.
2. The auditors utilized an online audit form for inputting the results of the audit.
3. The auditors inspected all necessary related documents and video provided as evidence of compliance or reviewed online data as required.
4. Once the auditors entered their audit results, compliance scores were determined for the requirements listed above.
5. The PSAB Innovation Manager – CIT, reviewed and completed the CIT Planning Committee Audit Checklist, answering the 7 checklist questions. This committee meets bi-annually to discuss the CIT processes and make any recommendations as needed to improve the CIT response in the community. Members include both NOPD, City and Parish officials, as well as external community advocates.

This report documents whether each requirement met the threshold for compliance (95%).

Total Sample: 109 Incidents

CIT Bar Chart Scorecard



CIT Data Scorecard - Overall

Crisis Intervention Team (CIT) Scorecard - (Summary)

Audit Period: Nov 2023

ARU percentages for Consent Decree requirements for CIT Form Audit. (Sample Range: May 2023-October 2023)

Checklist Questions	Score	Y	N	NA	U	NOPD Policy
1 The CIT form was completed properly for the Item Number. CD Par. 113(f), all items	93%	101	8	0	0	Ch 41.25 p69 CD p113 (f)
2 An Incident Report, in addition to a CIT Form, was completed when an alleged crime occurred.	100%	9	0	100	0	Ch 41.25 p71 Ch 41.25, p70, Ch 1.3 Ch 41.3 p10; Appendix B
3 A Use of Force report was completed if force was used.	100%	1	0	108	0	
4 A BWC/MVR was located for all responders observed on the scene.	99%	108	1	0	0	Ch 41.3 p30
5 The BWC policy was properly followed by the officer(s) to document the entire event.	98%	106	2	1	0	Ch 41.25 p22
6 The officer(s) addressed, in the BWC/MVR review or report, any specific information relayed by the dispatcher in CAD notes (e.g. weapons, aggression).	100%	79	0	30	0	Ch 41.25 p23
7 A CIT-trained officer responded to the scene. (Informational Only)	81/109	81	28			Ch 41.25 p14
8 EMS was summoned for any medical emergencies.	100%	5	0	104	0	Ch 41.25 p25, p30, p76
9 The officers used proper de-escalation techniques on the subject.	100%	81	0	28	0	Ch 41.25 p22
10 The officers secured the scene and used proper safety precautions, including physical searches prior to transport.	80%	67	17	24	1	Ch 41.25 p38
11 The officers provided transportation via EMS, or in a vehicle with a safety screen.	100%	81	0	28	0	Ch 41.25 p55
12 Physical restraints were used only when necessary, to protect the officer, the subject or others.	100%	57	0	52	0	Ch 41.25 p33
13 An arrest was made only when the officer had probable cause that a serious crime was committed.	-	0	0	109	0	Ch 41.25 p66
14 When a person being taken into custody for evaluation had a weapon on their person, or under his/her immediate control, officers confiscate.	100%	2	0	107	0	Ch 41.25 p5 Ch 41.25 p73, Ch 82.4, p7, p9
15 The officers provided community-based information to family members (community-based information consists of referrals to mental health clinics).	100%	32	0	77	0	NA
16 The officer received on-air approval from a supervisor for any CIT-related signal change.	100%	5	0	104	0	
17 After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality of the interaction was compliant.	92%	100	9	0	0	
Total	96%	834	37	872	1	

General Comments

ARU audited sampled CIT Form items for a defined period, for completeness and accuracy as required by the Consent Decree.

For an explanation of the procedures and scoring system for this review, see the associated "Protocol" document.

For a list of relevant policies, contact PSAB as needed.

For the audit results for each case file, see the accompanying RawData spreadsheets.

Scores below 95% are highlighted in red.

CIT Data Scorecard – By District

Crisis Intervention Team (CIT) Form Scorecard - (Single Review)

Audit Period: Nov 2023

ARU percentages for Consent Decree requirements for CIT Form Audit. (Sample Range: May 2023-October 2023)

Check-List Questions	1	2	3	4	5	6	7	8	Overall Score
1 The CIT form was completed properly for the Item Number. CD Par. 113(f), all items	100%	100%	100%	94%	100%	86%	81%	91%	93%
2 An Incident Report, in addition to a CIT Form, was completed when an alleged crime occurred.	100%	100%	-	100%	-	100%	-	100%	100%
3 A Use of Force report was completed if force was used.	-	-	-	-	-	-	-	100%	100%
4 A BWC/MVR was located for all responders observed on the scene.	100%	100%	100%	100%	100%	93%	100%	100%	99%
5 The BWC policy was properly followed by the officer(s) to document the entire event.	100%	100%	100%	100%	100%	100%	95%	91%	98%
6 The officer(s) addressed, in the BWC/MVR review or report, any specific information relayed by the dispatcher in CAD notes (e.g. weapons, aggression).	100%	-	100%	100%	100%	100%	100%	100%	100%
7 A CIT-trained officer responded to the scene. (Informational Only)	9/12	8/11	7/13	14/16	9/11	12/14	11/21	11/11	81/109
8 EMS was summoned for any medical emergencies.	-	-	100%	100%	-	100%	-	-	100%
9 The officers used proper de-escalation techniques on the subject.	100%	100%	100%	100%	100%	100%	100%	100%	100%
10 The officers secured the scene and used proper safety precautions, including physical searches prior to transport.	50%	100%	73%	70%	86%	100%	76%	89%	80%
11 The officers provided transportation via EMS, or in a vehicle with a safety screen.	100%	-	100%	100%	100%	100%	100%	100%	100%
12 Physical restraints were used only when necessary, to protect the officer, the subject or others.	100%	100%	100%	100%	100%	100%	100%	100%	100%
13 An arrest was made only when the officer had probable cause that a serious crime was committed.	-	-	-	-	-	-	-	-	-
14 When a person being taken into custody for evaluation had a weapon on their person, or under his/her immediate control, officers confiscate.	-	-	-	-	-	-	100%	-	100%
15 The officers provided community-based information to family members (community-based information consists of referrals to mental health clinics).	100%	100%	100%	100%	100%	100%	100%	100%	100%
16 The officer received on-air approval from a supervisor for any CIT-related signal change.	-	100%	-	100%	100%	-	-	-	100%
17 After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality of the interaction was compliant.	58%	100%	77%	100%	100%	100%	95%	100%	92%
Total	90%	100%	94%	97%	99%	98%	94%	97%	96%

General Comments

ARU audited sampled CIT Form items for a defined period, for completeness and accuracy as required by the Consent Decree.

For an explanation of the procedures and scoring system for this review, see the associated "Protocol" document.

For a list of relevant policies, contact PSAB as needed.

For the audit results for each case file, see the accompanying RawData spreadsheets.

Scores below 95% are highlighted in red.

CIT Incident Audit Reviews

The below listed information reveals the outcome of the Audit Team's checklist reviews.

1. **The CIT form was completed properly for the Item Number?** The overall score for this category was **93%**. Of the 109 CIT Incidents reviewed, 101 were audited as positive, 8 were negative and none were N/A (not applicable).
2. **An Incident Report, in addition to a CIT Form, was completed when an alleged crime occurred?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 9 were audited as positive, none (0) were negative, and 100 were N/A because no crime occurred.
3. **A Use of Force report was completed if force was used?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 1 was audited as positive, none (0) were negative, 108 were N/A because no force used.
4. **A BWC/MVR was located for all responders observed on the scene?** The overall score for this category was **99%** Of the 109 CIT Incidents reviewed, 108 were audited as positive, 1 was negative, and 0 were N/A.
5. **The BWC policy was properly followed by the officer(s) to document the entire event?** The overall score for this category was **98%**. Of the 109 CIT Incidents reviewed, 106 were audited as positive, 2 were negative and 1 was N/A.
6. **The officer(s) addressed, in the BWC/MVR review or report, any specific information relayed by the dispatcher in CAD notes (e.g., weapons)?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 79 were audited as positive, none were negative, and 30 were N/A.
7. **A CIT-trained officer responded to the scene?** No score given for this category as informational only. Of the 109 CIT Incidents reviewed, 81 times a CIT trained officer responded to scene.
8. **EMS was summoned for any medical emergencies?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 5 were audited as positive, none were negative, 104 were N/A because no medical emergencies reported.
9. **The officers used proper de-escalation techniques on the subject.** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 81 were audited as positive, 0 were negative, and 28 were N/A no de-escalation required.
10. **The officers secured the scene and used proper safety precautions, including physical**

searches prior to transport? The overall score for this category was **80%**. Of the 109 CIT Incidents reviewed, 67 were audited as positive, 17 were negative (14 of those were transports without searching first), 1 unknown, 24 were N/A because not transported and not searched.

11. **The officers provided transport via EMS or in a vehicle with safety-screen?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 81 were audited as positive, none were negative, 28 were N/A because no transportation involved.
12. **Physical restraints were used only when necessary, to protect the officer, the subject, or others?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 57 were audited as positive, 0 were negative, 52 were N/A because none were used.
13. **An arrest was made only when the officer had probable cause that a serious crime was committed.** The overall score for this category was **N/A**. Of the 109 CIT Incidents reviewed, none were audited as positive or negative, all 109 were N/A because no serious crimes occurred.
14. **When a person being taken into custody for evaluation had a weapon on their person, or under his/her immediate control, officers confiscate?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 2 were audited as positive, none were negative, 107 were N/A because no weapons were confiscated.
15. **The officers provided community-based information to family members (community-based information consists of referrals to mental health clinics)?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 32 were audited as positive, 0 were negative, 77 were N/A because no family members involved.
16. **The officer received on-air approval from a supervisor for any CIT-related signal change?** The overall score for this category was **100%**. Of the 109 CIT Incidents reviewed, 5 were audited as positive, 0 was negative, 104 were N/A no signal change requested.
17. **After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality?** The overall score for this category was **92%**. Of the 109 CIT Incidents reviewed, 100 were audited as positive, 9 were negative, and none were N/A.

CIT Planning Committee Audit Review (Nov 2023)

The below listed information reveals the outcome of the PSAB Innovation Manager checklist review. During the current timeframe, there were one (1) CIT Planning Committee Meetings conducted. The meetings were held on November 15, 2023. The following questions will be audited based on each meeting held.

1. **Does the CIT Planning Committee include NOPD command leadership and contracted mental health professionals?** N/A (not applicable), a meeting did not occur for this audit period.
2. **Has the CIT Planning Committee sought representation from the civilian leadership of the MCTU, local municipal government, the New Orleans Metropolitan Human Services District, community mental health professionals, professionals from Emergency health care receiving facilities, members of the local judiciary, the Orleans Parish Criminal Sheriff's Office, homeless service agencies, and mental health professionals and advocates?** N/A (not applicable), a meeting did not occur for this audit period.
3. **Does the CIT Planning Committee select CIT volunteers pursuant to policy?** N/A (not applicable), a meeting did not occur for this audit period.
4. **Do CIT Planning Committee minutes reflect the request of NOPD CIT behavioral event disposition data, Orleans Parish Prison booking data, the number of individuals with a mental health diagnosis at the jail, and the transfer of custody and voluntary referral rates between NOPD, emergency receiving facilities, and community agencies?** N/A (not applicable), a meeting did not occur for this audit period.
5. **Do CIT Planning Committee minutes reflect announcing of officers who received commendation for their individual CIT officer performance?** N/A (not applicable), a meeting did not occur for this audit period.
6. **Do CIT Planning Committee minutes reflect identification of strategy and training needs?** N/A (not applicable), a meeting did not occur for this audit period.
7. **Do CIT Planning Committee minutes reflect a record of response to recommendations and implementation of approved recommendations for curriculum changes and appropriate responses to behavioral crises?** N/A (not applicable), a meeting did not occur for this audit period.

Compliance - Summary

Based on the combined total of one thousand eight hundred and fifty-three (**1853**) checklist items rated, from the sample size of one hundred nine (**109**) CIT incidents audited; the **“overall score”** of this six-month (May 2023 - October 2023) CIT Incident Checklist audit conducted by the Auditing and Review Unit, was **96%**.

In addition, the CIT Planning Committee Audit, based on the 7 questions in the checklist, the **“overall score”** of this six-month (May 2023 - October 2023) period as determined by the PSAB Innovation Manager – CIT, was N/A (not applicable), a meeting did not occur for this audit period.

Conclusions

Results

The overall results of the six-month audit initially revealed compliance threshold scores of below 95% in the following checklist questions:

- **Q1:** The CIT form was completed properly for the Item Number - **(93%)**
 - 4th (deficiencies - 1), 6th (deficiencies - 2), 7th (deficiencies - 4), 8th (deficiencies - 1). See Raw data Comments.
- **Q10:** The officers secured the scene and used proper safety precautions, including physical searches prior to transport - **(81%)**
 - 1st (deficiencies-5), 3rd (deficiencies-3), 4th (deficiencies-3), 7th (deficiencies-4), 8th (deficiencies-1). See Raw data Comments.
- **Q17:** After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality of the interaction was compliant- **(92%)**
 - 1st (deficiencies-5), 3rd (deficiencies-3), 7th (deficiencies-1). See Raw data Comments.

Recommendations

It is recommended by the Professional Standards and Accountability Bureau that the patrol supervisors continue to conduct regular checks of CIT related incidents to ensure all documentation and processes are being adhered to as it pertains to NOPD Policy Chapter 41.25.

District Responses & PSAB Notes:

8th District Response

Question 1: The CIT form was completed properly for the Item Number?

Item: Should be marked as Compliant-Clerical error on form.

Auditor Comments: No - The CIT form was completed under another item number, the log states the item number is different. Per Chapter 41.25, paragraph 69: Upon completion of a crisis intervention incident involving a 103M, 29ST or 29SA, or any other signal in which a CIT officer is specifically dispatched for crisis intervention purposes, the CIT officer or responding officer shall complete and submit the electronic Crisis Intervention Form (Form #348).

Response: CIT form completed under wrong item number. The form has been corrected to reflect the correct item number. This was a clerical error that was easily fixed. The incident should be changed to compliant.

PSAB Note/Action: PSAB has observed that the clerical error has been corrected and the necessary changes have been made to mark that item as compliant.

Question 3: A Use of Force report was completed if force was used?

Item: Should be changed to compliant.

Auditor Comments: No – During the physical struggle with the consumer, officers conducted a takedown (Level 1 Use of Force), however no Use of Force was completed. Additionally, Officer advised the Sergeant at MM08:31 “We had to take him to the ground”. At MM11:18, the Sergeant advises Officer to make a Blue Team Report.

Response: This incident involved several items numbers that the officers were working on at the same location. The use of force was documented under an FTN as noted. This incident should be marked as compliant since the use of force was completed on the incident.

PSAB Note/Action: PSAB has observed that the Use of Force was documented on 5/20/2023 under FTN# and the necessary changes have been made to mark that item as compliant.

Question 4: A BWC/MVR was located for all responders observed on the scene?

Item: Should be changed to compliant.

Auditor Comments: No – Officer completed the CIT form, however no BWC was located for the officer, nor was he visible on the BWC of Officer’s Malveaux and Padilla.

Per Chapter 41.25 paragraph 74: Officers shall utilize body worn cameras in accordance with Chapter 41.3.10 – Body Worn Cameras to document the entirety of the event, from arrival up to and including the transfer of the individual to Central Lock-Up or a receiving facility.

Response: Via your auditor’s comments Sgt. was not on the scene; therefore, no BWC would be expected from Sgt. Due to Sgt. not being on the scene and no BWC required from him, this item should be marked as compliant. The other officers on scene had BWC video as required.

PSAB Note/Action: PSAB has observed that Sgt. was not on the scene in neither officers BWC videos. The necessary changes have been made to mark the item as compliant. However, Per Chapter 41.25, paragraph 69: Upon completion of a crisis intervention incident involving a 103M, 29ST or 29SA, or any other signal in which a CIT officer is specifically dispatched for crisis intervention purposes, the CIT officer or responding officer shall complete and submit the electronic Crisis Intervention Form (Form #348).

Question 5: BWC policy was properly followed by the officers to document the entire event?

Item: Non-Compliant action already initiated.

Auditor Comments: No – Officer’s BWC was not activated until MM01:15, after he was already on scene and engaging in a physical struggle with the consumer.

Per Chapter 41.25 paragraph 74: Officers shall utilize body worn cameras in accordance with Chapter 41.3.10 – Body Worn Cameras to document the entirety of the event, from arrival up to and including the transfer of the individual to Central Lock-Up or a receiving facility.

Response: The BWC was not activated for the entire incident. This was caught by the district and was already handled under CTN #.

PSAB Note/Action: PSAB has observed in IAPRO a CTN# for Rule 4: Perf of Duty: Paragraph 04- Neglect of Duty- NOPD Policy: Chapter 41.3.10- Body Worn Camera, Paragraph 11

Item: Should be changed to compliant.

Auditors Comments: No – Officer deactivated BWC prior to arrival at the hospital (consumer was still in police custody) Per Chapter 41.25 paragraph 74: Officers shall utilize body worn cameras in accordance with Chapter 41.3.10 – Body Worn Cameras to document the entirety of the event, from arrival up to and including the transfer of the individual to Central Lock-Up or a receiving facility.

Response: The officer had a malfunction with the BWC that he was assigned. A check of the camera’s audit trail shows that the camera was shut off due to it been docked which contradicts what the video shows. The audit trail further shows that the camera was brought back to the BWC unit and reassigned on the same day and has not been put back into service since this occurred. Officer was issued another BWC on the same day. Due to a malfunction which is out of the officer’s control this item should be marked as compliant or removed from the audit.

PSAB Note/Action: PSAB has observed Officer BWC video & noticed that while the officers were in route to the hospital the BWC just shut off at 06:53:09 without the officer pressing the BWC to go off. Reviewing the audit trail that states “Recording stopped due to camera being docked” at 10/31/2023-06:53:09.

Question 10: The officers secured the scene and used proper safety precautions, including physical searches prior to transport?

Item: non-compliant see training remedy suggested below.

Auditor Comments: No – The consumer was not searched prior to being placed in the unit; additionally, Officer Lewis was the only officer involved in the transport, per policy two officers must accompany an individual transported in a police unit. Per Chapter 41.25 paragraph 58: Officers shall search the individual before transporting in accordance with Chapter 71.1 – Prisoner Transportation and Guarding Paragraph 60(c): Two officers must accompany an individual in crisis transported in a police unit.

Response: After reviewing the BWC video of the officer the subject that was transported was not searched before being placed inside of the police vehicle. The Platoon Supervisors were notified about the incident and roll call training will be conducted on Chapter 41.25 to ensure there are no reoccurrences.

PSAB Note/Action: No further action.

4th District Response

Question 1: The CIT form was completed properly for the Item Number?

Item: Officer inadvertently placed the wrong date on his form, the officer dated the form on 9/1/23 instead of 8/31/23. The officer received verbal training and advised to resubmit the form with the correct date.

PSAB Note/Action: No further action.

Question 10: The officers secured the scene and used proper safety precautions, including physical searches prior to transport?

Item: The officer did not search the consumer prior to being placed into his unit. A review of the officer’s BWC revealed that the officer did not search the consumer which was not compliant with Chapter 71.1

Paragraph 10. The policy was reviewed with the officer and documented under an SFL# to avoid this incident in the future.

PSAB Note/Action: No further action.

Item: The officer did not search the consumer prior to being placed into his unit. A review of the officer's BWC revealed that the officer did not search the consumer which was not compliant with Chapter 71.1 Paragraph 10. The officer has resigned, no corrective measure was taken.

PSAB Note/Action: No further action.

Item: The officer did not search the consumer prior to being placed into his unit. A review of the officer's BWC revealed that the officer did not search the consumer which was not compliant with Chapter 71.1 Paragraph 10. The policy was reviewed with the officer and documented under an SFL# to avoid this incident in the future.

PSAB Note/Action: No further action.

Attachments:

Excel Raw Data Spreadsheets

Timothy A. Lindsey

**Timothy A. Lindsey, Innovation Manager - Auditing
Professional Standards and Accountability Bureau**

Lonnal Lamb, Jr.

**Lonnal Lamb Jr., Auditor
Professional Standards and Accountability Bureau**

CIT Incident Audit Checklist

The following checklist was the instrument used by the auditing team to review each incident.

Item Number: _____
 Auditors: _____
 Audit Number: _____

NA = Not Applicable
 Y = Compliant
 N = Not compliant/No
 U = Unknown

1. The CIT form was completed properly for the Item Number. CD Par. 113(f), all items	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
2. An Incident Report, in addition to a CIT Form, was completed when an alleged crime occurred.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
3. A Use of Force report was completed if force was used.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
4. A BWC/MVR was located for all responders observed on the scene.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
5. The BWC policy was properly followed by the officer(s) to document the entire event.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
6. The officer(s) addressed, in the BWC/MVR review or report, any specific information relayed by the dispatcher in CAD notes (e.g., weapons, aggression).	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
7. A CIT-trained officer responded to the scene. (Informational Only)	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
8. EMS was summoned for any medical emergencies.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
9. The officers used proper de-escalation techniques on the scene.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
10. The officers secured the scene and used proper safety precautions, including physical searches prior to transport.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
11. The officers provided transportation via EMS, or in a vehicle with a safety screen.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
12. Physical restraints were used only when necessary, to protect the officer, the subject, or others.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
13. An arrest was made only when the officer had probable cause that a crime was committed.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
14. When a person being taken into custody for an evaluation, had a weapon on their person or under his/her immediate control, officers confiscated the weapon and documented the seizure in an electronic police report.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
15. The officers provided community-based information to family members (community-based information consists of referrals to mental health clinics/providers, substance abuse clinics, and homeless shelters).	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U

16. The officer received on-air approval from a supervisor for any CIT-related signal change.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U
17. After a review of this Item number in evidence.com, the CIT form and any other related documentation, the auditor believes that the quality and effectiveness of the overall police response to this crisis intervention call for service was effective and handled appropriately and within policy.	<input type="checkbox"/> NA / <input type="checkbox"/> Y / <input type="checkbox"/> N / <input type="checkbox"/> U

Explain in the narrative below whether there were any exceptional strategies used by the investigator or any deficiencies noted in the case investigation by /Auditor.

Appendix C – Report Distribution

Deputy Supt. PSAB Bureau

Captain PSAB Bureau

Deputy Supt. FOB Bureau

Captain FOB Bureau

Lieutenant FOB

Auditing and Review Unit